Purpose
This document provides guidance if you make or receive a disclosure under C&K’s Whistleblower Policy (Policy).

Making a Whistleblower Complaint / Disclosure
To be protected under the Policy you must meet the following requirements:

You must be eligible to make a disclosure, which means you must be someone who fits into one of the following groups:

- C&K employees, including casual, temporary and relief staff
- Board Directors
- Contractors, suppliers and service providers, including auditors and their employees
- Volunteers
- A relative, dependent or spouse of any of the above

You must disclose to an Eligible Recipient, who must be one of the following:

- A member of the Executive Management Group
- A Board Director
- The Whistleblower Protection Officer (General Counsel & Company Secretary)
- A member of the Legal, Risk and Governance Team
- C&K’s Auditors
- The Australian Securities & Investment Commission (ASIC)
- An external lawyer (if you are obtaining legal advice about the Reportable Conduct)
- C&K’s independent, external Whistleblower service. This service is confidential and available 24 hours a day, seven days a week.

What can I disclose?
You must have reasonable grounds to suspect misconduct or an improper state of affairs. This includes conduct that is, for example:
- Illegal, including theft
- Dishonest
- Corrupt, including bribery
- Fraudulent
- Unethical
- In breach of legal or regulatory requirements
- In breach of C&K’s policies
- Endangering health and safety e.g. unsafe work practices or violent behaviour
- Mismanaging or wasting C&K’s resources
- Posing a risk to public safety
- Detrimental against a person who has made, is suspected to have made, or is planning to make, a disclosure. This includes actual and threatened conduct.

You will not be protected if you make a deliberate false disclosure, and you may be subject to disciplinary action if you make such a report.

What disclosures aren’t covered by the Policy?
The Policy does not cover matters such as personal work-related grievances, for example a conflict between you and another employee that does not involve any of the sort of conduct listed above.
You must raise any such grievances with your Manager or the People & Culture team in accordance with the relevant policy (for example the Employee Grievance Policy).

Reports are considered on a case by case basis. If you report a matter that does not fall into the definition of misconduct or an improper state of affairs, the Whistleblower Protection Officer will inform you and provide you with alternative options for raising your concerns.

What information do I need to provide?
You should provide as much information as possible, such as:
- Date, time and location of concerns / events
- Names of people involved
- Possible witnesses to the events
- Supporting evidence (documents, emails etc)
- Any steps you have already taken to report or resolve the concerns.

How do I make a disclosure?
You can disclose by telephone, email or any other means.
The details of C&K’s external, independent Whistleblower Service are:

<table>
<thead>
<tr>
<th>Service</th>
<th>Grapevine Whistleblower Hotline</th>
</tr>
</thead>
<tbody>
<tr>
<td>Telephone</td>
<td>1300 933 977</td>
</tr>
<tr>
<td>Website</td>
<td><a href="https://creche.grapevineonline.com.au">https://creche.grapevineonline.com.au</a></td>
</tr>
<tr>
<td>Postal</td>
<td>PO Box 119, Carlton South, VIC 3053</td>
</tr>
</tbody>
</table>

Can I remain anonymous?
- You can remain anonymous
- You can identify yourself
- You may use a false name or pseudonym

If you remain anonymous, we would still like to be able to maintain ongoing, anonymous contact with you to support any investigation. You can use an anonymous phone number, email address or any other suitable means.

What happens once I make a disclosure?
C&K will follow the process set out in the ‘Investigation and Follow-Up’ section of the Policy and will apply the protections set out in the ‘Protections provided under this Policy’ section.

Receiving a Whistleblower Complaint / Disclosure

Am I able to receive a disclosure?
The following people are ‘Eligible Recipients’ and can receive a disclosure under the Policy:

- A member of the Executive Management Group
- A Board Director
- The Whistlebearer Protection Officer (General Counsel & Company Secretary)
- A member of the Legal, Risk and Governance Team
- C&K’s Auditors
- The Australian Securities & Investment Commission (ASIC)
- An external lawyer (if you are obtaining legal advice about the Reportable Conduct)
- C&K’s independent, external Whistleblower service. This service is confidential and available 24 hours a day, seven days a week.

What if I am not an ‘Eligible Recipient’ and someone wants to make a disclosure to me?
If a person wishes to make a disclosure under the Policy and you are not an Eligible Recipient (listed above) you should:
1. Inform them that you are not an Eligible Recipient
2. Inform them that if they do make a disclosure to you it will jeopardise the protection of their identity
3. Refer them to an Eligible Recipient.

How do I receive a disclosure?
If you are an Eligible Recipient and a person wishes to make a disclosure under the Policy:

- Confirm the person wishing to make the disclosure is an eligible whistleblower, if they are not an eligible whistleblower, inform them that they may not be covered by the whistleblower protections and they should seek their own legal advice before making a disclosure.
- Provide the person with a copy of the Whistleblower Policy and Guidelines.
- If possible, ensure that the disclosure can be made in a location that affords privacy and confidentiality.
- Request as much information as possible to support the disclosure, such as:
  - Date, time and location of concerns / events
  - Names of people involved
  - Possible witnesses to the events
  - Supporting evidence (documents, emails etc)
  - Any steps the person has already taken to report or resolve the concern.
- Obtain contact details - if the person wishes to remain anonymous (for example, if they disclose over the phone or in writing), ask if they can provide an ongoing anonymous contact (for example anonymous phone number or email) to support any investigation or action taken under the Policy.
- Reassure the person making the disclosure that their identity and the information they have provided will be protected and will not be disclosed except as set out in the Policy, namely to the Whistleblower Protection Officer and any person appointed to conduct an investigation.
- Immediately contact the Whistleblower Protection Officer (General Counsel & Company Secretary) unless it is inappropriate to do so (for example, if the Officer is the subject of the disclosure). In such cases you should contact the Chair of the Audit, Risk and Finance Committee.
Protecting the identity of the disclosing person
It is illegal for you, C&K and any other person involved in the investigation to disclose the identity of, or any information that could be used to identify, a person who is eligible to make a whistleblower disclosure.

You must not discuss the disclosure with any person except as advised by the Whistleblower Protection Officer.

Protecting the disclosing person from detrimental acts or omissions
It is illegal for you, C&K and any person to engage in any conduct against the disclosing person that could cause them damage or detriment.

You must not take any action against the disclosing person unless the action is to protect the person, is reasonable management action, and is taken in consultation with the Whistleblower Protection Officer.

Investigation and Follow Up
Once you have passed the disclosure to the Whistleblower Protection Officer, they will handle the matter in accordance with the ‘Investigation and Follow Up’ section of the Policy. You do not need to take any further action.

Breaches of these Guidelines
Non-compliance is a serious matter and may result in disciplinary action. Actual or threatened detrimental conduct that relates to whistleblowing and confidentiality breaches is unlawful and will likely result in dismissal. Additionally, significant civil and criminal penalties apply for both C&K and individuals, including fines and imprisonment.

Amending these Guidelines
C&K may change these guidelines at any time without prior notice. When we do make changes, we will notify you of them by posting an updated version on our intranet and website.